

Guarantee Issuance Amendment - Beneficiary Consent - Islamic
User Guide

Oracle Banking Trade Finance Process Management

Release 14.6.0.0.0

Part No. F57088-01

May 2022

Oracle Banking Trade Finance Process Management - Guarantee Issuance Amendment - Beneficiary Consent - Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Guarantee Issuance Amendment - Beneficiary Consent transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Issuance Amendment - Beneficiary Consent - Islamic

As part of Guarantee Issuance Amendment, the amendments may need consent from the beneficiary of the amendment and the amended Guarantee is parked awaiting beneficiary consent. Once the Beneficiary has accepted the amendment, the Guarantee amendment Confirmation will be triggered.

The various stages involved for Islamic Guarantee Issuance Amendment Beneficiary Consent are:

- Input data and Upload of related mandatory and non-mandatory documents in Registration stage
- Input/Modify details of amendment of Guarantee - Data Enrichment stage
- Capture remarks for other users to check and act
- Notify customer on any negative statuses in any of the stages to the applicant
- Hand off request to back office for amendment confirmation

The design, development and functionality of the Islamic Guarantee Issuance Amendment Beneficiary Consent process flow is similar to that of conventional Guarantee Issuance Amendment Beneficiary Consent process flow.

This section contains the following topics:

Common Initiation Stage

Data Enrichment

Registration

Approval

Common Initiation Stage

The user can initiate the new Islamic Guarantee Issuance Amendment Beneficiary Consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot displays the Oracle OBTFPM application interface. On the left, a navigation sidebar lists various modules, with 'Initiate Task' selected under the 'Trade Finance' category. The main content area is titled 'Initiate Task' and shows a 'Registration' stage. It includes two dropdown menus: 'Process Name' (set to 'Islamic Guarantee Issuance Ame...') and 'Branch *' (set to 'PK2-Oracle Banking Trade Finan...'). At the bottom right of the main area are 'Proceed' and 'Clear' buttons. The top header bar contains user details: '(DEFAULTTNTY)', 'Oracle Banking Trade Finan... (May 2, 2021)', and 'ZARTAB02 subham@gmail.com'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

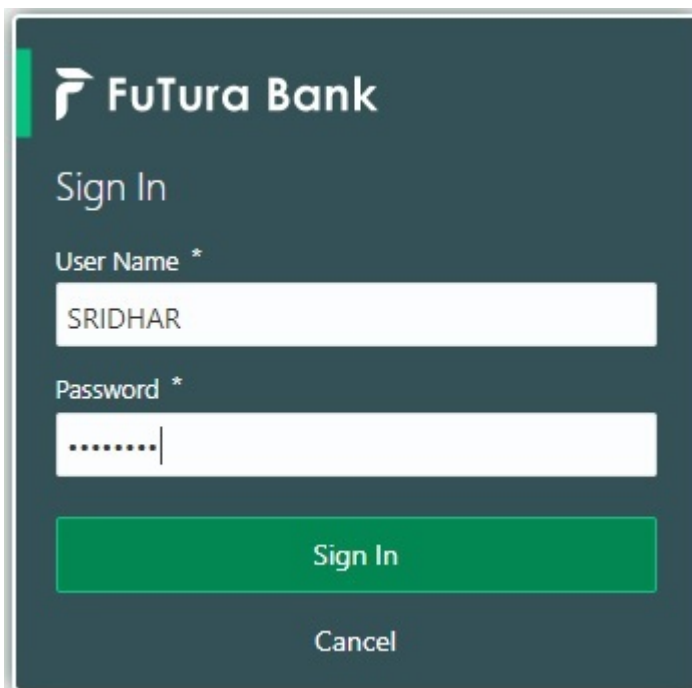
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

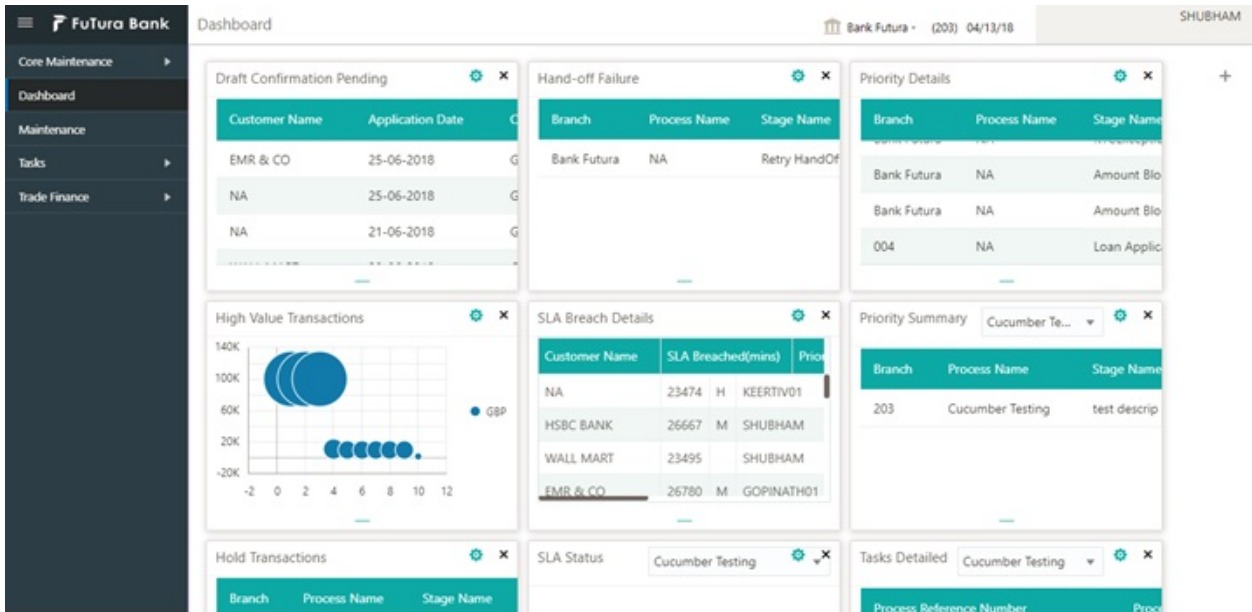
Registration

During Registration stage, user can register the Beneficiary's response for the amendment to the Guarantee. User can enter the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents.

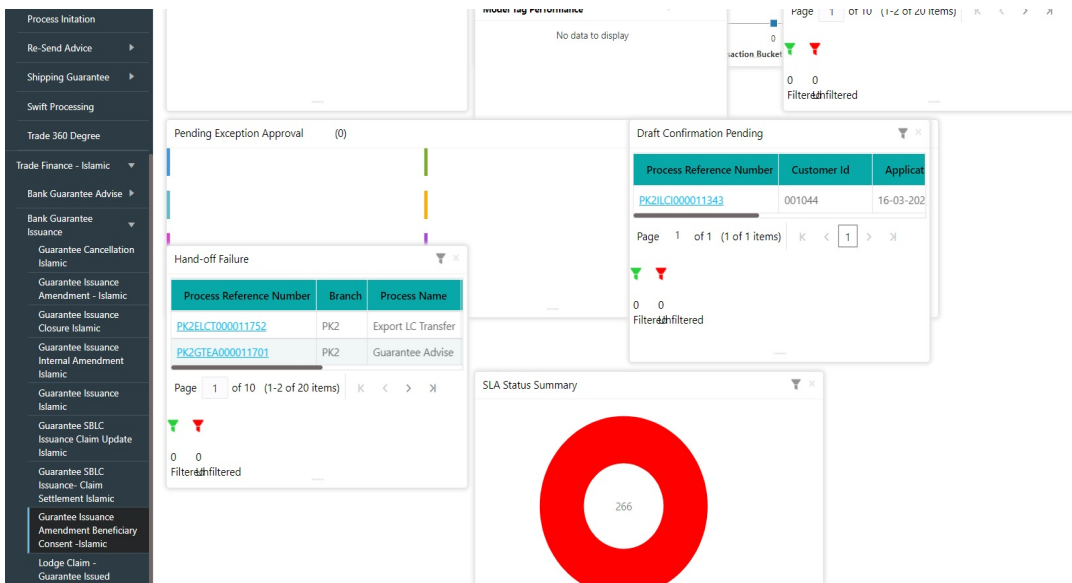
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

The image shows a login interface for FuTura Bank. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo is the heading 'Sign In'. There are two input fields: 'User Name *' with the text 'SRIDHAR' entered, and 'Password *' with masked characters '.....'. Below these fields are two buttons: a green 'Sign In' button and a white 'Cancel' button with a grey border.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance - Islamic > Bank Guarantee Issuance > Guarantee Issuance Amendment - Beneficiary Consent - Islamic.**



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

Application Details

Guarantee Issuance Amendment Beneficiary Consent -Islamic

Documents Remarks Customer Instruction

Application Details

Undertaking Number PK2GLR21125AORT	Received From - Customer ID 001044	Received From - Customer Name GOODCARE PLC	Branch PK2-Oracle Banking Trade Finan...
Priority * Medium	Submission Mode Desk	Amendment Number 1	Process Reference Number PK2IGAI000011876
Response Received Date May 5, 2021			

View Guarantee/SBLC Amendment Guarantee/SBLC Events

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Response Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		<input type="checkbox"/>

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Undertaking Number	Enter the undertaking number or alternatively select it from LOV'. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Received From - Customer ID	Read only field. Customer ID will be auto-populated from the Guarantee /SBLC Amendment.	001344
Received From - Customer Name	Read only field. Customer Name will be auto-populated from the Guarantee /SBLC Amendment.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from the Guarantee /SBLC Amendment.	203-Bank Futura -Branch FZ1
Priority	This field will be defaulted based on the priority maintained for the customer. Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. Users are allowed to change the priority.	High

Field	Description	Sample Values
Submission Mode	System defaults the submission mode as 'Desk' for the transactions created via Registration Users are allowed to change the values. The values are: Desk - Request received through Desk Courier - Request received through Courier Email - Request received through Email FAX - Request received through FAX	Desk
Amendment Number	Read only field. Amendment number will be auto-populated based on the system maintenance. Amendment number increases by 1 for each amendment.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV00 15920
Response Received Date	System defaults the current branch date. User can not change the date to a back date and future date.	04/13/2018

Beneficiary Response Capture

System will default the list of amendment issued with details of amendment date, Beneficiary consent Required status, Beneficiary Response and Remarks in this section.

View Guarantee/SBLC Amendment
Guarantee/SBLC Events

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Response Required	Beneficiary Response	Remarks	Action
1	May 5, 2021		Unconfirmed		

Hold
Cancel
Save & Close
Submit

Miscellaneous

Guarantee Issuance Amendment Beneficiary Consent -Islamic

Documents Remarks Customer Instruction

Application Details

Undertaking Number: PK2GLR21125AORT

Received From - Customer ID: 001044

Received From - Customer Name: GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Priority: Medium

Submission Mode: Desk

Amendment Number: 1

Process Reference Number: PK2IGA000011876


Response Received Date: May 5, 2021

View Guarantee/SBLC Amendment Guarantee/SBLC Events

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Response Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		

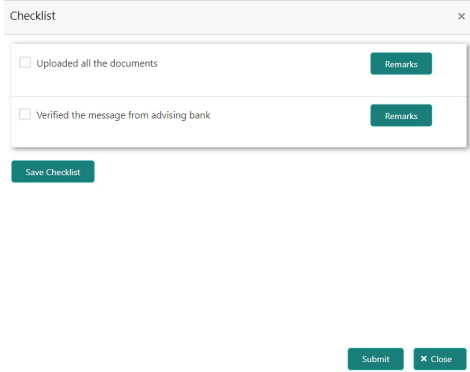
Hold Cancel Save & Close Submit

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated from the Guarantee /SBLC Amendment.	
Amendment Date	Read only field. This field displays the date on which the amendment was made to Guarantee/ SBLC.	
Beneficiary Consent Required	Read only field. Beneficiary Consent Required toggle (On/ Off) will be auto-populated from the Guarantee /SBLC Amendment.	
Beneficiary Response	Select the beneficiary response from the drop-down. The values are: <ul style="list-style-type: none"> Confirmed Rejected  Note	
Remarks	Enter the remarks of the beneficiary response.	

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required Guarantee/ SBLC Amendment –Beneficiary Confirmation documents.	

Field	Description	Sample Values
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request. Content from Remarks Field should be handed off to Remarks field in Backend application.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View SBLC/ Guarantee	Clicking on View SBLC/ Guarantee button, user can view the the snapshot of latest Guarantee amendment details.	
SBLC/ Guarantee Events	Clicking on SBLC/ Guarantee Events button, user can view the snapshot of various events under the Guarantee amendment details.	
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of SBLC/ Guarantee Amendment - Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' for working later. This option will not submit the request.	
Cancel	Cancels the SBLC/ Guarantee Amendment - Beneficiary Consent Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from beneficiary and appropriate remarks must be provided.	

Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

Data Enrichment

SBLC/ Guarantee Amendment - Beneficiary Consent request that were received at the desk will move to Data Enrichment stage post successful Registration. The requests will have the details entered during the Registration stage. Registration user can input details in Application Details and in Beneficiary Response Capture section. If Registration user has entered details only in Application details, then DE user can input the details.

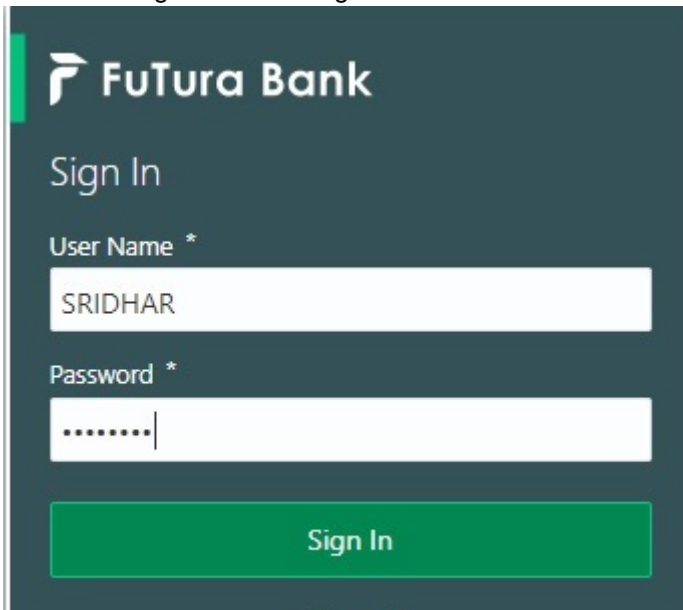
DE user can also change the details in Beneficiary Response Capture if already captured by Registration user.



For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



FuTura Bank

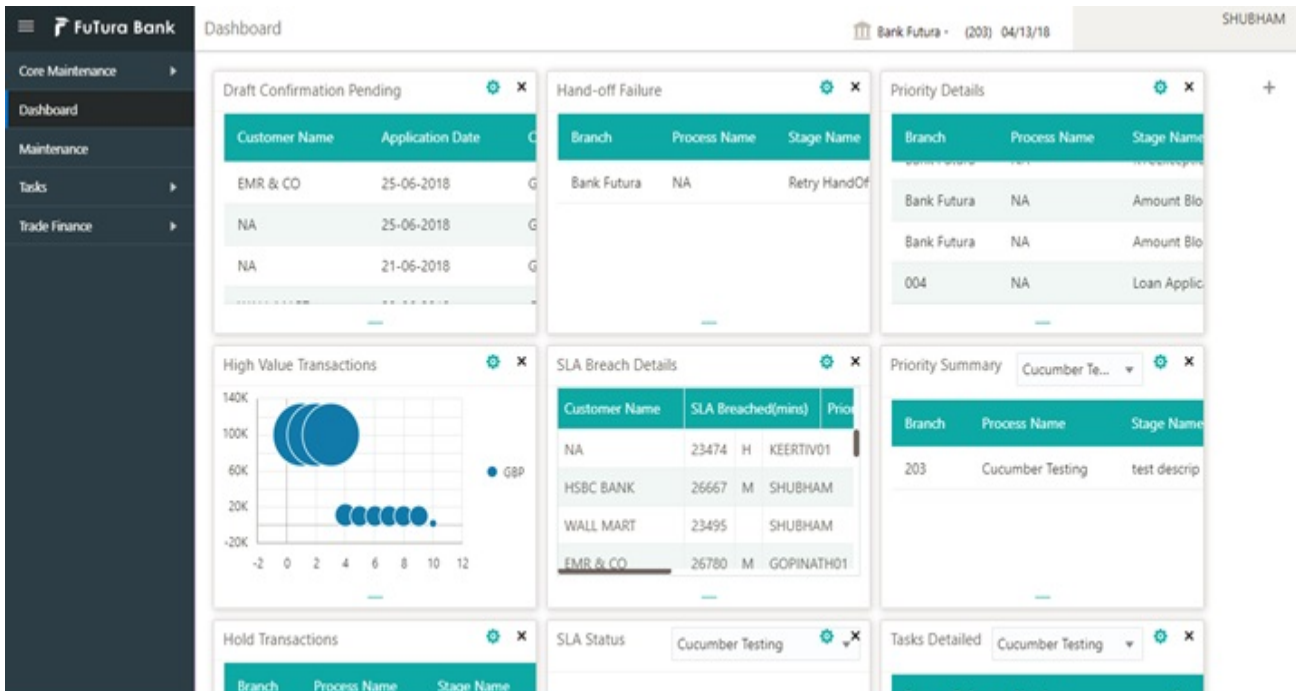
Sign In

User Name *

Password *

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard Bank Futura - (203) 04/13/18 SHUBHAM

Core Maintenance ▶
Dashboard
Maintenance
Tasks ▶
Trade Finance ▶

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prio
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Tasks> Free Tasks**.

Free Tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
Acquire & Edit	Medium	Guarantee Issuance Amendment Beneficiary Consent Islamic	PK2IGA000011876	PK2IGA000011876	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011869	PK2GIS000011869	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee Amendment	PK2GTEA000011865	PK2GTEA000011865	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011864	PK2GIS000011864	KYC Exceptional approval	22-03-30	PK2
Acquire & Edit	Medium	Export Documentary Collection Booking	PK2EDCB000011863	PK2EDCB000011863	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000011861	PK2ELCD000011861	Scrutiny	22-03-30	PK2
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000011862	PK2ELCD000011862	Scrutiny	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011859	PK2GIS000011859	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011858	PK2GIS000011858	KYC Exceptional approval	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011857	PK2GIS000011857	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011856	PK2GIS000011856	KYC Exceptional approval	22-03-29	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011853	PK2GIS000011853	DataEnrichment	22-03-29	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011850	PK2GIS000011850	DataEnrichment	22-03-29	PK2

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4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
Acquire & Edit	Medium	Guarantee Issuance Amendment Beneficiary Consent Islamic	PK2IGA000011876	PK2IGA000011876	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011869	PK2GIS000011869	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee Amendment	PK2GTEA000011865	PK2GTEA000011865	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011864	PK2GIS000011864	KYC Exceptional approval	22-03-30	PK2
Acquire & Edit	Medium	Export Documentary Collection Booking	PK2EDCB000011863	PK2EDCB000011863	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000011861	PK2ELCD000011861	Scrutiny	22-03-30	PK2
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000011862	PK2ELCD000011862	Scrutiny	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011859	PK2GIS000011859	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011858	PK2GIS000011858	KYC Exceptional approval	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011857	PK2GIS000011857	DataEnrichment	22-03-30	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011856	PK2GIS000011856	KYC Exceptional approval	22-03-29	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011853	PK2GIS000011853	DataEnrichment	22-03-29	PK2
Acquire & Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011850	PK2GIS000011850	DataEnrichment	22-03-29	PK2

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

My Tasks

Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Edit	Medium	Guarantee Issuance Amendment Beneficiary Consent Islamic	PK2IGA000011876	PK2IGA000011876	DataEnrichment	22-03-30	PK2	001044
Edit	Medium	Guarantee SBLC Issuance-Claim Update Islamic	PK2IGCU000011844	PK2IGCU000011844	Approval Task Level 1	22-03-29	PK2	001044
Edit	Medium	Guarantee Advise Internal Amendment Islamic	PK2IGA000011779	PK2IGA000011779	DataEnrichment	22-03-28	PK2	001044
Edit	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GIS000011777	PK2GIS000011777	DataEnrichment	22-03-28	PK2	000325
Edit	Medium	Guarantee Advise Internal Amendment Islamic	PK2IGA000011776	PK2IGA000011776	Registration	22-03-28	PK2	001044
Edit	Medium	Islamic ExportLC Amendment BeneficiaryConsent	PK2IETB000011585	PK2IETB000011585	DataEnrichment	22-03-23	PK2	001204
Edit	Medium	Islamic ExportLC Amendment BeneficiaryConsent	PK2IETB000011582	PK2IETB000011582	DataEnrichment	22-03-22	PK2	001204
Edit	High	Guarantee SBLC Advised -Claim Settlement	PK2GADC000011460	PK2GADC000011460	Approval Task Level 1	22-03-19	PK2	001044
Edit	Medium	Guarantee Amendment	PK2GTEA000011389	PK2GTEA000011389	DataEnrichment	22-03-17	PK2	001044
Edit	Medium	Islamic Export Documentary Collection Return/Close	PK2IEDC000011384	PK2IEDC000011384	Approval Task Level 1	22-03-17	PK2	001044
Edit	Medium	Import LC Amendment	PK2ILCA000011376	PK2ILCA000011376	Registration	22-03-17	PK2	001044
Edit	Medium	Islamic Export Documentary Collection Booking Update	PK2IEDU000011316	PK2IEDU000011316	KYC Exceptional approval	22-03-15	PK2	000153
Edit	Medium	Export LC Drawing Update	PK2ELCU000011182	PK2ELCU000011182	Handoff RetryTask	22-03-13	PK2	001044

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The beneficiary consent response capture stage has three sections as follows:

- Main Details
- Additional Details
- Advices

- Additional Details
- Settlement Details
- Summary

Let's look at the details for beneficiary consent response capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

At this stage the bank user can enter/update the information on amendment confirmation request.

Main details section has sub section as follows:

- Application Details
- Beneficiary Response Capture

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Gurantee Issuance Amendment Beneficiary Consent Islamic
DataEnrichment :: Application No:- PK2IGA1000011876

Documents Remarks Overrides Customer Instruction View Undertaking View Events

Main Details

Application Details

Undertaking Number: PK2GLR21125AORT

Received From - Customer ID: 001044

Received From - Customer Name: GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Priority: Medium

Submission Mode: Desk

Amendment Number: 1

Process Reference Number: PK2IGA1000011876

Response Received Date: May 5, 2021

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Response Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Beneficiary Response Capture

The fields listed under this section are same as the fields listed under the [Beneficiary Response Capture](#) section in [Registration](#). Refer to [Beneficiary Response Capture](#) for more information of the During Registration, if user has not captured input, then user can capture the details in this section.

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Response Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	NA for beneficiary consent.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Registration/previous user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Save & Close	<p>Save the information provided and holds the task in 'My Task' for working later.</p> <p>This option will not submit the request.</p>	
Next	Click Next to move to next logical step in Beneficiary Consent Response stage.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	
View Events	Clicking this button allows the user to view the various events under the Guarantee amendment details.	

Additional Fields

This stage allows adding more fields that are required to process the request. These fields can be configured as part of implementation of the product.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	NA for beneficiary consent.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Registration/previous stage user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Next	Task will get moved to next logical stage of Guarantee Issuance Amendment Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' for working later. This option will not submit the request	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	
View Events	Clicking this button allows the user to view the various events under the Guarantee amendment details.	

Advices

This section defaults the advices maintained for the product/event simulated from the advices maintained at the Product level. At this stage the bank user can verify the advices data segment details of Guarantee amendment Beneficiary Consent Process.

Guarantee Issuance Amendment Beneficiary Consent Islamic
DataEnrichment :: Application No:- PK2IGAI000011876

Documents Remarks Overrides Customer Instruction View Undertaking View Events

Main Details Additional Fields **Advices** Additional Details Settlement Details Summary

Screen (3 / 6)

Advice : LC_CANCEL_ADV	Advice : LC_CANCEL_ADV	Advice : PAYMENT_MESS...	Advice : PAYMENT_MESS...
Advice Name : LC_CANCEL_ADV Advice Party : BEN Party Name : FIXNETIX Suppress : NO Advice	Advice Name : LC_CANCEL_ADV Advice Party : BEN Party Name : FIXNETIX Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice
Advice : PAYMENT_MESS... Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice	Advice : PAYMENT_MESS... Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice		

Audit Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice ☐

Party ID

Advice Name

Party Name

Medium

Advice Party



FFT Code

No data to display.

Instructions

OK Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Delete icon	Click delete icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Delete icon	Click delete icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	NA for beneficiary consent.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the previous stage user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Issuance Amendment Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	
View Events	Clicking this button allows the user to view the various events under the Guarantee amendment details.	

Additional Details

As a part of Data Enrichment, user can verify and enter the basic additional details available in the Guarantee amendment Beneficiary Consent Process request. In case the request is received through online channel, the user will verify the details populated.

Following tiles are present in Additional Details section:

- Limits and Collateral section
- Charges, commission and Taxes simulated from back office and populated in this screen

Guarantee Issuance Amendment Beneficiary Consent Islamic
DataEnrichment :: Application No:- PK2IGA1000011876

Documents Remarks Overrides Customer Instruction View Undertaking View Events

Main Details
Additional Fields
Notices
Additional Details
Settlement Details
Summary

Additional Details

Limit & Collateral

Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency : **GBP**
Collateral : **1000**
Contribution : **Not Verified**
Collateral Status

Charge Details

Charge :
Commission :
Tax :
Block Status :

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (4 / 6)

Limit and Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	View
No data to display.								

Cash Collateral Details

Collateral Percentage *
57.0

Collateral Currency and amount
GBP
£57.00

Exchange Rate
1

+

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1		PK20010440017	1	8	£8.00		NA	

Save & Close
Cancel

Limit Details

Customer Id
001044

Line ID *
001044_GB

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£9,000.00

Limit Currency
GBP

Limit Available Amount
£9,99,999.00

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date
24-Dec-2020

Verify

Save & Close
Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Customer ID	This field displays the applicant's bank customer ID.	
Line ID	The line available and mapped under the customer id.	

Field	Description	Sample Values
Contribution%	<p>System will default this to 100%. System will display an alert message, if contribution is more than 100%.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	Contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as

✓ Save & Close


✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Field	Description	Sample Values
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
--------------	--	--

Field	Description	Sample Values
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission,Charges and Taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items)
1

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
-----------	------	------------	----------	--------	---------	-------	--------------------

Save & Close
Cancel

Commission Details

Commission Details are auto-populated from back-end system.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User can update the default value.

Tax details are auto-populated from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	NA for beneficiary consent.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Issuance Amendment Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	
View Events	Clicking this button allows the user to view the various events under the Guarantee amendment details.	

Settlement Details

As a part of Data Enrichment, user can verify and enter the basic settlement details available in the Guarantee amendment Beneficiary Consent Process request. In case the request is received through online channel, the user will verify the details populated.

Guarantee Issuance Amendment Beneficiary Consent Islamic
DataEnrichment :: Application No:- PK2IGA1000011876

Documents Remarks Overrides Customer Instruction View Undertaking View Events

Screen (5 / 6)

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Settlement Details
☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AGLIR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AGLIR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AGLIR_COM1_LQRS	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AGLIR_COM1_LQRS	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AGLIR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AGLIR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AGLIR_COMM_LQRS	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AGLIR_COMM_LQRS	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
AVL_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
AVL_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No

AGLIR_COM1_LIQD - Party Details

Transfer Type

Charge Details

Netting Indicator

Ordering Customer

Ordering Institution

Senders Correspondent

Receivers Correspondent

Intermediary Institution

Account With Institution

Beneficiary Institution

Ultimate Beneficiary

Intermediary Reimbursement Institution

Payment Details

Sender To Receiver 1

Sender To Receiver 2

Sender To Receiver 3

Sender To Receiver 4

Sender To Receiver 5

Sender To Receiver 6

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	NA for beneficiary consent.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Issuance Amendment Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	
View Events	Clicking this button allows the user to view the various events under the Guarantee amendment details.	

Summary

User can review the summary of details in Data Enrichment stage for Islamic Guarantee SBLC Issuance amendment Beneficiary Consent request.

User can review the summary of details updated in Beneficiary Consent Response Capture section. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Guarantee Issuance Amendment Beneficiary Consent Islamic DataEnrichment :: Application No- PK2IGA1000011876

Documents Remarks Overrides Customer instruction View Undertaking View Events

Screen (6 / 6)

Main Details Additional Fields Advices Additional Details Settlement Details Summary

Summary

Main Details	Additional Fields	Limits and Collaterals	Commission,Charges and Taxes	Advices
SBLC/Guarantee Type : BILL Submission Mode : Desk Date of Issue : 2021-05-05	Click here to view Additional fields	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 1000 Collateral Status : Not Verified	Charge : GBP100 Commission : GBP49.32 Tax : GBP1601.23 Block Status : Not Initia	Advice 1 : LC_CANCEL_ Advice 2 : LC_CANCEL_ Advice 3 : PAYMENT_ME Advice 4 : PAYMENT_ME Advice 5 : PAYMENT_ME
Preview messages	Settlement Details	Accounting Details	Party Details	Compliance details
Language : ENG Preview Message : -	Component : OTHBNKCHG_ Account Number : PK20010440 Currency : GBP	Event : AccountNumber : Branch :	Applicant : GOODCARE PLC Beneficiary : FIXNETIX	KYC : Not Initia Sanctions : Not Initia AML : Not Initia

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee details. User can only view but cannot modify the details.
- Additional Fields – User can view the User Defined Field maintained.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Advices - User can view the advice details.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “ Value Date is different from Transaction Date for one or more Accounting entries.

- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Settlement Details - User can view the Settlement details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Button

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	NA for beneficiary consent.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Issuance Amendment Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	
View Events	Clicking this button allows the user to view the various events under the Guarantee amendment details.	

Approval

A User can view the summary of details updated in multilevel approval stage of Guarantee Issuance Amend request. The Approval user can review and approve the details updated in approval stage of the Beneficiary Consent response for Amendment under Guarantee Issued.

Log in into OBTFPM application and acquire the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Description Approval the user can view a snapshot of the beneficiary consent response to an amendment made to this transaction as read only.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Summary

Guarantee SBLC Issuance-Claim Update Islamic
Approval Task Level 1 : Application No:- PK2IGCU000011844

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main	Claim Details	Document Details	Additional Fields	Advices
Booking Date : 2021-05-05 Submission Mode : Desk Amount : GBP 1	Demand Type : New ExpiryDate : 2022-01-06 Intermediary :	Document 1 : Document 2 :	Click here to view : Additional fields	Advice 1 : Advice 2 :
Commission, Charges and taxes	Preview Messages	Settlement Details	Compliance	Accounting Details
Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Component : Account Number : Currency :	KYC : Not Initia Sanctions : Verified AML : Verified	Event : GCLM AccountNumber : 313100003 Branch : PK2
Exception(Approval)				
KYC : EXCEPTION PLEASE VISIT : - REMARKS FOR MORE DETAILS				

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view the application details and Guarantee details. User can only view but cannot modify the details.
- Additional Fields – User can view the User Defined Field maintained.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Advices - User can view the advice details.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “ Value Date is different from Transaction Date for one or more Accounting entries.

- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Settlement Details - User can view the Settlement details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Documents and Checklist

- Documents: Approval user can open the uploaded documents and verify them.
- Checklist: Verify the uploaded documents.
- Remarks: Approval user can view the remarks captured in the process during earlier stages.
- Incoming Message: User can view the SWIFT MT 768 if applicable

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Issuance under beneficiary consent approval.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Acceptance Criteria

As a OBTFPM user, the user can capture the beneficiary consent received for an Amendment of Guarantee/ SBLC to the beneficiary.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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